# **Our Core Principles**



## Our Values and Code Purpose

- Know and comply with the Code of Business Conduct and Ethics and speak up if we have concerns or suspect violations.
- Comply with all applicable laws, rules and regulations, and policies in all jurisdictions where we do business and deal with potential violations decisively.

### Our Commitment to Doing the Right Thing

- Practice sound ethical decision-making and take actions that will preserve an ethical workplace.
- Be fair in our employment practices and prioritize doing right by our employees.
- Communicate honestly, transparently, accurately and with positive intent.

# Commitment to Our People

- Treat others with dignity and respect our diversity of cultures, backgrounds, experiences and thought.
- Maintain a safe, secure and respectful work environment. Do our part to promote a safe workplace and report any activity that can pose a threat to others.

# Commitment to Assurant and Our Shareholders

- Protect all company assets our physical assets, financial assets, technology and information assets, intellectual property and business relationships.
- Maintain integrity in personal investment activities ensuring they don't conflict with Assurant or client interests and aren't made with knowledge of material nonpublic information relating to the investment.

- Recognize that offering, giving, soliciting or receiving anything of value that is intended to give, or appears to give, an improper business advantage or benefit is not allowed.
- Avoid conflicts of interest inside and outside of the workplace.
- Communicate responsibly. Seek authorization to speak on behalf of the company and use good judgment on social media.

#### Commitment to Our Partners and Customers

- Ensure ethical business practices. Always deal fairly and in good faith and never take unfair advantage of anyone through manipulation, concealment or abuse of privileged information.
- Maintain customer privacy by safeguarding their information and only use it in the manner permitted.
- Take care not to offer, promise, make or authorize the giving of anything of value to a government official directly or indirectly.
- Provide full, fair, accurate, timely and understandable disclosure in the reports and documents we file with or submit to regulators, government officials and auditors, and in our other public communications.

#### Commitment to Our Communities

- Recognize our responsibility as a global citizen.
  When or where we feel comfortable, give back to the communities where we work and live, and do our part to reduce the environmental impact of our business decisions and operations.
- Make sure political activities and charitable contributions comply with applicable laws, regulations and Assurant policies.